

1714 #4 BT
9-3-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Thomas P. Sassi

§ Group Art Unit: 1714

Serial No.: 10/087,266

§ Examiner: Not Yet Known

Filed: October 25, 2001

§ Docket No.: 00025-01

For: POLYMERIC ARTICLES CONTAINING
HINDERED AMINE LIGHT STABILIZERS
BASED ON MULTI-FUNCTIONAL
CARBONYL COMPOUNDS

§ Date: August 12, 2002

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant respectfully submits this Supplemental Information Disclosure Statement (IDS) pursuant to 37 C.F.R. §1.97 and §1.98 in order to comply with the duty of disclosure under 37 C.F.R. §1.56.

Applicant wishes to bring to the Examiner's attention that there are pending U.S. applications that have similar subject matter with the present invention. Specifically, these applications relate to the compounds of formula I, II and III in the present application. The applications are: U.S. Serial No. 09/704,793, filed November 3, 2000; U.S. Serial No. 09/704,527, filed November 3, 2000; U.S. Serial No. 09/704,872, filed November 3, 2000; U.S. Serial No. 10/045,333, filed October 25, 2001 (CIP of U.S. Serial No. 09/704,793) and U.S. Serial No. 10/002,516, filed October 25, 2001 (CIP of U.S. Serial No. 09/704,527).

Identification of the listed documents is not to be construed as an admission of Applicant or Attorneys for Applicant that such reference is available as "prior art" against the subject application.

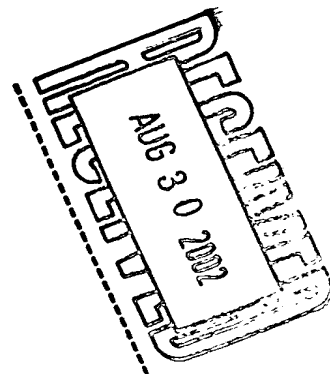
No fee is believed due since this IDS is being submitted prior to the first Office Action pursuant to 37 C.F.R. §1.97(b)(3). Should any fee be required, please charge to Deposit Account No. 03-4083.

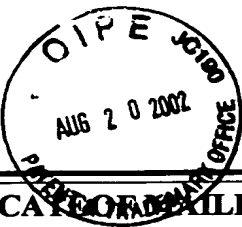
The Examiner is requested to consider and to make this document of record during prosecution in the subject application.

Respectfully submitted,

James A. Jubinsky
Attorney for Applicant
Registration No. 42,700
Telephone (203) 321-2913

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Stamford, CT 06904-0060





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Applicant(s): **Thomas P. Sassi**

Docket No.

00025-01

Serial No.
10/087266

Filing Date
October 25, 2001

Examiner
Not Yet Known

Group Art Unit
Not Yet Known

Invention: **Polymeric Articles Containing Hindered Amine Light Stabilizers Based on
Multi-Functional Carbonyl Compounds**

I hereby certify that this **Supplemental Information Disclosure Statement**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

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Mary E. Miller

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Invention: **Polymeric Articles Containing Hindered Amine Light Stabilizers Based on
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
00025-01

In Re Application Of:

Thomas P. Sassi

Serial No.
10/087,266

Filing Date
October 25, 2001

Examiner
Not Yet Known

Group Art Unit
1714

Title:

**POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS
BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.


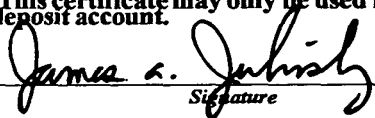
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 00025-01
In Re Application: Thomas P. Sassi			
Serial No. 10/087,266	Filing Date October 25, 2001	Examiner Not Yet Known	Group Art Unit 1714
POLYMERIC ARTICLES CONTAINING HINDERED AMINE LIGHT STABILIZERS BASED ON MULTI-FUNCTIONAL CARBONYL COMPOUNDS			
Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))			
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-4083 as described below. A duplicate copy of this sheet is enclosed.			
<input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.			
Certificate of Transmission by Facsimile* I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date) _____ Signature _____ Typed or Printed Name of Person Signing Certificate		Certificate of Mailing by First Class Mail I certify that this document and fee is being deposited on August 12, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  _____ Signature of Person Mailing Correspondence Mary E. Miller _____ Typed or Printed Name of Person Mailing Certificate	
*This certificate may only be used if paying by deposit account.  _____ James A. Jubinsky Registration No. 42,700			
Dated: August 12, 2002			
Cytec Industries Inc. Patent Law Dept. 1937 W. Main Street P.O. Box 60 Stamford, CT 06904-0060 Telephone (203) 321-2913 CC:			

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
00025-01

Application Number

10/038268

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Applicant(s)
Thomas P. Sassi

Filing Date
October 25, 2001

Group Art Unit

1714

U.S. PATENT DOCUMENTS

EXAMINER REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	4,940,742	7/10/90	Aumueller et al.	524	93	Aug 11, 1989
AB	5,017,702	5/21/91	Aumueller et al.	546	99	Jul 6, 1989

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FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.